

STATE OF ARIZONA

DEPARTMENT OF TRANSPORTATION PROCUREMENT



ELECTRONIC REQUEST FOR QUOTES

ADOT SOLICITATION REFERENCE NUMBER: T07-49-00035

Commodity Code: 0918-0046, 0096

Description: SPR 641 Overdimensional Vehicle Routing Study

DUE DATE: February 16, 2007 at 5:00 P.M. MST

DATE POSTED: January 3, 2007

PRE-BID CONFERENCE: Not Applicable

Submittal Location: Arizona Department of Transportation

Procurement Group

1739 W. Jackson Street, Suite A MD 100P

Phoenix, Arizona 85007-3276

REPLY TO: FAX: (602) 712-8647

Responsible Contract Officer: Karie Ingles, CPPB Phone: (602) 712-8505

E-mail kingles@azdot.gov

TOTAL AGGREGATE AMOUNT FOR THIS CONTRACT WILL NOT EXCEED \$50,000.00. PROCUREMENTS LESS THAN \$50,000.00 ARE RESTRICTED TO SMALL BUSINESSES. A SMALL BUSINESS IS ONE THAT, INCLUDING ITS AFFILIATES, IS INDEPENDENTLY OWNED AND OPERATED, IS NOT DOMINANT IN THE TYPE OF BUSINESS IT CONDUCTS, AND WHICH EMPLOYS FEWER THAN 100 FULL TIME EMPLOYEES OR WHICH HAS GROSS RECEIPTS OF LESS THAN \$4 MILLION IN ITS LAST FISCAL YEAR. This shall not limit competition for individuals or non-small entities who wish to apply.

"An Equal Employment Opportunity Agency"

The Arizona Department of Transportation is committed to the principles of Equal Employment Opportunity. To ensure dissemination of the Equal Opportunity program throughout all levels of the department, the ADOT Civil Rights Deputy Administrator serves as the Equal Opportunity Administrator for the Arizona Department of Transportation.

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1.0 Statement of Need

The Arizona Department of Transportation (ADOT), Arizona Transportation Research Center (ATRC) (herein referred to as the Department) has a need for a firm or individual (hereinafter referred to as Contractor) to provide a report that can be used to evaluate the costs and benefits of accommodating overdimensional vehicles on Arizona roadways.

1.1 <u>Problem Description</u>

- 1.1.1 Oversized and overweight vehicles present unique demands on the road system. Vehicle, weight, width, length, and/or height make it impossible to travel on some bads and difficult to travel on others. This increases the time and expense of transporting these large, indivisible loads and, in some cases, may prohibit access to the desired client user or critical infrastructure point. In order to ascertain whether there are feasible and cost-effective ways of reducing the time and expense incurred in transporting such items we must first identify the magnitude of the current problem.
- ADOT needs to do a study examining the frequencies and routes taken for moving these overdimensional and/or overweight loads as a first step toward determining practical steps for dealing with these shipments. If we can get a reasonable estimate of the cost of the current methods for accommodating these shipments we will have a better idea of whether different methods of accommodating these shipments ought to be explored.

1.2 <u>Project Objectives</u>

The initial objectives are to gather information that will help the Department decide whether:

- 1. The problem is too small to justify further study or action.
- 2. The problem is significant enough to warrant an initial corridor pilot study to evaluate overdimensional vehicle obstacles on a segment of a specific corridor and delivery delays and associated costs created compared with potential correction costs for the corridor.

1.3 Approach and Work Plan

The following work tasks are intended to provide a framework for conducting the research and are considered to be the minimum. Projects typically are completed within a one to two year period.

- 1.3.1 Task 1: Present an outline of a work plan. Intermediate deliverables shall be identified in the work plan and any progress payments associated with these deliverables shall be specified. The Technical Advisory Committee (TAC) will review the scope of work and work plan. At this time, any revisions needed shall be made. **Deliverable**: A work plan acceptable to the TAC.
- 1.3.2 <u>Task 2</u>: Review the existing literature on oversized vehicle travel to assess the current status of the phenomenon—its magnitude, impacts, and measures taken to deal with it. **Deliverable**: Document the findings in a manner that is acceptable to the TAC and which shall be suitable for inclusion in the final report.
- 1.3.3 Task 3 Conduct interviews with a sample of those who have made moves of oversize loads in the recent past. **Deliverable**: Document the findings in a manner that is acceptable to the TAC and which shall be suitable for inclusion in the final report.
- 1.3.4 Task 4: Examine data from a representative sample of oversized trip permits issued in Arizona to estimate the volume and cost (from data to be supplied by shippers) of such traffic and the most frequently occurring origins, destinations, and routes. **Deliverable**: Document the findings in a manner that is acceptable to the TAC and which shall be suitable for inclusion in the final report.

- 1.3.5 Task 5: Submit a Project Final Report and a four-page Research Note to ATRC. The Final Report shall contain the data and explanatory narrative sufficient to document the project goals, scope, methods used in the research, activities and issues, results, recommendations and all other requirements specified in this Solicitation. The Final Report shall include suggestions on how to implement recommendations made. Electronic copies of the Final Report shall be provided in mutually agreed upon formats. The Final Report shall conform to the version of the ATRC document, Guidelines for Preparing ATRC Research Reports, which is in effect at the time this Contract is executed. At Contractor's request ATRC will provide Contractor a printed or electronic copy of the Guidelines or the document may be found on the Internet at: http://www.azdot.gov/TPD/ATRC/Publications/guidelines.asp
- 1.3.5.1 The four-page Research Note serves as an executive summary for the project. The final report must be written in a concise manner with an emphasis on the research need, project goals, and key results, conclusions and ecommendations. ATRC will provide format samples and guidelines for this document, which will be both printed and posted on the Internet. Contractor is responsible for correction of deficiencies in the Final Report that are identified by the Project's Technical Advisory Committee, the Federal Highway Administration or ATRC. The Project is not considered complete until Report deficiencies are corrected. Contractor should include report preparation and revisions as part of the work scope for this Solicitation. **Deliverable**: A final report that is acceptable to the TAC, Federal Highway Administration (FHWA) and ATRC.
- 1.3.6 Task 6 Provide a brief presentation to the Research Council or another audience designated by the TAC. This presentation shall include such visual aids (Microsoft PowerPoint is preferred) as necessary or useful in portraying the information content of the research. Copies of the final report and any handouts of other information shall be distributed to members of the Research Council at this presentation. **Deliverable**: A final oral presentation that is acceptable to the TAC.

1.4 Contractor Responsibilities

- 1.4.1 Conduct the research in accordance with ATRC guidelines. These guidelines can be found at http://www.azdot.gov/TPD/ATRC/research/Guidelines.asp. Copies of previously published ATRC reports illustrating the type of final product required can be found at http://www.azdot.gov/TPD/ATRC/Publications/project reports/index.asp.
- 1.4.2 Respect the confidentiality of the Department's data—using any confidential information solely for the purposes of this research project. No data or reports shall be released to entities not directly involved with the Project without the express prior written approval of ADOT. All media contacts prior to the publication of the final report for the Project, and directly related to the Project, shall be coordinated by ADOT.
- 1.4.3 Submit periodic progress reports that summarize work to date and include a copy of appropriate deliverables (for example, bibliographies, research notes, partially completed text, tables or graphics). Frequency of progress reports shall be determined and mutually agreed upon at the time the work plan is approved.
- 1.4.4 Submit invoices for payment purposes. These invoices shall accompany the periodic progress reports and shall be for amounts commensurate with the deliverables of the project. The Project Manager shall provide sample format for invoices.

1.5 TAC Responsibilities

- 1.5.1 Provide guidance to the project by making timely responses to any relevant questions posed by the Contractor.
- 1.5.2 Assist in obtaining access to any official data, records, or information needed for the research.
- 1.5.3 Review progress reports in a timely manner to provide feedback and ensure that the project stays on course.

- 1.5.4 Review and approve (if satisfactory) the final report.
- 1.5.5 Provide reasonable assistance in the oral presentation to the Research Council or other designated audience.
- 1.5.6 Make the best effort to implement recommendations that would be beneficial to the Department or other government agencies and/or the traveling public.

1.6 <u>Department Project Manager Responsibilities</u>

The Department Project Manager shall provide general direction as necessary and be responsible for all decisions pertaining to the work on this project, including processing invoices and reporting progress to TAC.

2.0 <u>UNIFORM TERMS AND CONDITIONS</u>

Incorporated by reference. To obtain a copy of the Uniform Terms and Conditions in full text, you can log on to http://www.azeps.az.gov/PoliciesDocuments/index.htm or contact Karie Ingles at (602) 712-8505.

3.0 SPECIAL TERMS AND CONDITIONS

3.1 <u>Term</u>

- 3.1.1 The term of any resultant contract shall commence upon contract execution and shall continue for two (2) years unless terminated, cancelled or extended as otherwise provided herein.
- 3.1.2 The Department reserves the right to unilaterally extend the period of any resultant contract for thirty-one (31) days beyond the stated expiration date.
- In addition, by mutual written agreement, any resultant contract may be extended for supplemental periods up to a maximum of thirty-six (36) months or subject to the budget limitation of \$15,000.00.

3.2 <u>Method of Payment & Funding Limitation</u>

- 3.2.1 All project invoices are paid at 90% of the billed amount. The remaining 10% is withheld until final completion of the project. Payment is made based upon the deliverables identified and completed. The Department reserves the right to withhold payment in the event that adequate documentation of satisfactory progress toward completion of the project has not been received. The Project Manager shall be the judge of the adequacy of documentation and whether progress on the project is satisfactory. The maximum budget for this project is \$15.000.00.
- 3.2.2 The Contractor shall submit invoices for services rendered to the address as follows:
- 3.2.2.1 Arizona Department of Transportation,

Transportation Research Center Department (Mail Drop 075R)

2739 E. Washington Street Phoenix, AZ 85034-1422 Attention: Mr. John Semmens

3.3 Changes

The Department reserves the right to revise the delivery schedule and make other changes within the general Scope of Work as may be deemed necessary to best serve the interest of the Department. All changes shall be documented by formal amendments to the contract in accordance with A.R.S. 41-2503 (8).

3.4 Accuracy of Work

The Contractor shall be responsible for the accuracy of the work and shall promptly make all necessary revisions or corrections resulting from errors and omissions on the part of the Contractor without additional compensation. Acceptance of the work by the State will not relieve the Contractor of the responsibility for subsequent correction of any such errors and clarification of any ambiguities.

3.5 Review of Contractor's Work

Work performed by the Contractor shall be subject to periodic reviews. The Department reserves the right to make such reviews and pass upon the acceptability of Contractor's work.

3.6 <u>Cancellation for Possession of Weapons on ADOT Property</u>

- 3.6.1 This contract may be cancelled if contractor or any subcontractors or others in the employ or under the supervision of the contractor or subcontractors is found to be in possession of weapons.
- Possession of weapons (firearms, explosive device, knife or blade of more than three inches, or any other instrument designed for lethal or disabling use) is prohibited on ADOT property pursuant to ADOT Policy, PER 6.04, "Weapons in the Workplace." Such property includes ADOT owned or leased office building, yards, parking lots, construction sites or state owned vehicles.
- Further, if the contractor or any subcontractors or others in the employ or under the supervision of the contractors or subcontractors are asked by an ADOT official to leave the ADOT property, they are advised that failure to comply with such a request shall result in cancellation of the contract and anyone who refuses, whether armed or not, is subject to prosecution under A.R.S. § 13-1502, "Criminal trespass in the third degree; classification."

3.7 Offshore Performance of Work Prohibited

Due to security and identity protection concerns, direct services under this contract shall be performed within the borders of the United States. Any services that are described in the specifications or scope of work that directly serve the State of Arizona or its clients and may involve access to secure or sensitive data or personal client data or development or modification of software for the State shall be performed within the borders of the United States. Unless specifically stated otherwise in the specifications, this definition does not apply to indirect or "overhead" services, redundant back-up services or services that are incidental to the performance of the contract. This provision applies to work performed by subcontractors at all tiers.

3.8 <u>Federal Immigration and Nationality Act</u>

The contractor shall comply with all federal, state and local immigration laws and regulations relating to the immigration status of their employees during the term of the contract. Further, the contractor shall flow down this requirement to all subcontractors utilized during the term of the contract. The State shall retain the right to perform random audits of contractor and subcontractor records or to inspect papers of any employee thereof to ensure compliance. Should the State determine that the contractor and/or any subcontractors be found noncompliant, the State may pursue all remedies allowed by law, including, but not limited to; suspension of work, termination of the contract for default and suspension and/or debarment of the contractor.

3.9 <u>Notices/Correspondence Requirements</u>

- 3.9.1 All correspondence/notices for this contract shall be delivered in person or sent by mail addressed as follows:
- 3.9.1.1 Arizona Department of Transportation

Procurement Group 1739 W. Jackson St., Ste. A, MD100P Phoenix, Arizona 85007-3276 Attention: Karie Ingles

4.0 <u>UNIFORM INSTRUCTIONS TO OFFERORS</u>

Incorporated by reference. To obtain a copy of the Uniform Instructions to Offerors in full text, you can log on to http://www.azeps.az.gov/PoliciesDocuments/index.htm or contact Karie Ingles at (602) 712-8505.

5.0 SPECIAL OFFER SUBMITTAL INSTRUCTIONS

5.1 Complete and return all required information to the location indicated on the solicitation, page one (1) by the time indicated. Responses may be faxed to: (602) 712-8647 or sent via email with electronic signature to kingles@azdot.gov, Attention: Karie Ingles. Responses must be in writing and signed.

5.2 **Required Information**

5.2.1 The following shall be completed and submitted concurrent with and as part of the Offer:

Attachment 1 - Offer and Contract Award

Attachment 2 - Price Schedule

Attachment 3 - Offeror's References

Attachment 4 – State of Arizona Substitute W-9

- 5.2.2 Submit cover letter and resume(s) of proposed personnel.
- 5.2.3 Submit an example of the proposed personnel's work product or provide a website where sample can be obtained or provide on CD in Word or PDF format.
- 5.2.4 Submit transcripts or qualifications of the organization.

5.3 OFFER EVALUATION AND SELECTION

5.3.1 The table below indicates the items and the relative order of importance that shall be given to each factor in the selection of the firm or individual to undertake the research project. The Offeror shall submit items 2 through 6 in written form. The project manager shall evaluate the offers and make a recommendation for award based upon the following evaluation criteria. Those offers determined to be reasonably susceptible of being selected for award shall be invited to interview. If circumstances prevent full execution of the contract, the Offeror submitting the next ranked offer will be called. An award will be made to the responsible Offeror whose offer is determined to be the most advantageous to the State by the Procurement Officer.

| 1. Interview | The Project Manager will interview the Offeror in person or by telephone. The interview questions to be |
|-----------------|--|
| | asked are found in Exhibit A of this solicitation. Any other questions that may be asked are strictly limited |
| | to clarification, as defined below in A.A.C. R2-7-C313, of the offer submitted and to establish that the Offeror |
| | is responsible, as defined below in A.R.S. 41-2531 (14), to undertake this project. No revisions to the offer |
| | or solicitation will take place. |
| | <u>Definitions:</u> |
| | A.A.C. R2-7-C313 – The purpose for clarifications is to provide for a greater mutual understanding of the |
| | offer. Clarifications are not negotiations and material changes to the request for proposal or offer shall not |
| | be made by clarification. |
| | A.R.S. 41-2531 (14) - "Responsible bidder or Offeror " means a person who has the capability to |
| | perform the contract requirements and the integrity and reliability, which will assure good faith performance. |
| 2. Sample of | Provide a sample of Offerors best work on a research project. If possible, this sample should reflect work |
| Previous work | on a related topic or demonstrate the type of skills that would be useful on this project. Electronic format is |
| | acceptable. |
| 3. Cover letter | Submit a one-page cover letter briefly describing why Offeror should be selected for the job and attach a |
| and resume | brief résumé listing educational and job experiences. |
| 4. References | Provide three (3) references (including telephone numbers and e-mail addresses) who will vouch for Offerors |
| | ability and attitude. References are required to complete Attachment 4, References. |
| 5. Transcripts | Provide an unofficial copy of college transcripts, OR describe Offerors organizational capabilities, |
| OR . | background qualifications and experience. Include internal methods proposed for completing projects on |
| Qualifications | time and person responsible for schedule. |
| of the | |
| Organization | |
| 6. Price | Utilizing the Price Sheet, specify a "Firm Fixed Price" for completion of the project. This shall be supported |
| 0. 1 1100 | by an hourly rate combined with an estimation of how many hours would be required to complete the |
| | project. |
| | project. |

ATTACHMENT 1 OFFER AND CONTRACT AWARD



ARIZONA DEPARTMENT OF TRANSPORTATION

Procurement Group 1739 West Jackson Street, Suite A, Mail Drop 100P Phoenix, Arizona 85007-3276 Phone: (602) 712-7211



SOLICITATION NO. T07-49-00035

Submit this form with an original signature to the State.

TO THE STATE OF ARIZONA: The bidder hereby offers and agrees to perform in compliance with all terms, conditions, specifications and amendments of this solicitation and any written exceptions in the offer. Signature also acknowledges receipt of all pages indicated in the Table of Contents. Federal Employer Identification For clarification of this offer, contact:

| No.: | denuncation | For claimcation of this other, contact. | | | | |
|-----------|----------------|--|--|--|--|--|
| | | Printed Name | | | | |
| Offeror's | (Company) Name | Email Address | | | | |
| | Address | Company Email Address/Website | | | | |
| City | State Zip | Signature of Person Authorized to Sign Offer | | | | |
| Phone | | Printed Name Date | | | | |
| Facsimile | | | | | | |

SMALL BUSINESS CERTIFICATION

As a person authorized to represent this Offeror , by signing below I certify that the bidding organization is qualified as a small business. A small business means a concern, including its affiliates, which is independently owned and operated, which is not dominant in its field, and which employs fewer than one hundred full-time employees or which had gross annual receipts of less than four million dollars in its last fiscal year (A.R.S. §41-1001). Procurements estimated to cost less than fifty thousand dollars (\$50,000.00) shall be restricted to small businesses in accordance with A.R.S. 41-2535.

Signature of Person Authorized to Certify Status as Small Business

ACCEPTANCE OF OFFER AND CONTRACT AWARD (FOR STATE OF ARIZONA USE ONLY)

| Your bid is hereby accepted. | |
|---|--|
| The contractor is now bound to perform based upon amendments, etc., and the contractor's offer as accepted by the | the solicitation, including all terms, conditions, specifications, ne state. |
| This contract shall henceforth be referred to as Contract No. | |
| SPR 641 Overdimensi | onal Vehicle Routing Study |
| The contractor is hereby cautioned not to commence any billa contract until contractor receives a purchase order. | able work or provide any material, service or construction under this |
| | State of Arizona |
| | Awarded this day of 2007 |
| | Karie Ingles, CPPB |
| ^ | se Procurement Officer and not personally |

ATTACHMENT 2 PRICE SHEET

SOLICITATION NO. T07-49-00035

COMPLETE THIS FORM IN ACCORDANCE WITH SOLICITATION REQUIREMENTS AND SUBMITTED WITH OFFER:

| FIRM FIXED PRICE for research project | \$ Total |
|---|-------------|
| Estimated number of hours to complete project | Hours |
| Hourly Rate | \$ /hour |

ATTACHMENT 3 OFFEROR'S REFERENCES

SOLICITATION NO. T07-49-00035

Provide a copy of this form to <u>each</u> of three (3) references for completion and inclusion with offer. These references will be checked, please make sure all information is accurate and current.

| Off | eror's Name |
|-----|---|
| | Reference Name |
| | Reference Telephone Number |
| | Reference Facsimile Number |
| | Reference E-mail Address |
| | What is the nature of your relationship with the referenced individual? |
| | |
| ۱. | On a scale of 1 to 10, with 10 being the best, how would you rate this person / firm in terms of their ability to do each of the following: (Explain as necessary.) |
| | Complete work on schedule |
| | Take direction |
| | Prepare a quality written report |
| | Make a coherent oral presentation |
| 2. | Attitude: |
| | Excellent: always gives a good effort. Independently achieves good performance. |
| | Good: usually gives a good effort. Requires some coaching/motivation. |
| | Fair: gets work done but requires frequent coaching/motivation. |
| | Poor: unreliable. Does not work to potential. |
| 3. | Is there anything else you can add that would help us evaluate this person's/firm's suitability for the job' |

ATTACHMENT 4

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STATE OF ARIZONA

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DO NOT SEND TO IRS

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ATTACHMENT 4

| STATE OF ARIZONA GAO USE ONLY VENDOR & STATE AGENCY: DO NOT WRITE BELOW THIS | | | | | | LOW THIS LINE | |
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| ☐ IRS TIN Matching | ☐ Commission | Corp | poration | Other | | ☐ Other | |
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EXHIBIT A INTERVIEW OUTLINE

SOLICITATION NO. T07-49-00035

| Name |
|--|
| Project |
| Date |
| Why do you want to work on this project? |
| What do you know about over-sized and over-weight vehicles and their routing needs? |
| How will you proceed with the research on this project? |
| What distinguishes you from other candidates for this job? |
| What is the most important skill or attribute you will bring to this job? |
| Are there any particular special circumstances or requests (i.e., work schedule, timeframe, task order, etc. you would like to make regarding this project? |
| Any additional comments you would like to make or items you would like to show that would help ADO evaluate your capabilities for this job? |